Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-JAN-22** 

of M/s. IGI Finex Securities Limited

Submission Date 15-FEB-2022 15:49:47

Packet Support         Adjustments         Value           1         Assets         18.800.075         18.800.075         19.900.075         1		Submission Date 15-FEB-2022 15.49.47		Page	1 Of 3
11       Progetity & Equipment       18.996.875         13       Integration of the Definition of Definition of the Definition	S.No.	Head of Account			
1. Diuble by a characteristic         15.216.170           1.4. Interstment in Double Securities         15.216.170           1.5. of the balance sheet value in the case of terux upon type.         15.216.170           1.5. of the balance sheet value in the case of terux upon type.         15.216.170           1.5. of the balance sheet value in the case of terux upon type.         15.216.170           1.5. of the balance sheet value in the case of terux upon type.         15.216.170           1.5. of the balance sheet value in the case of terux upon type.         15.216.170           1.5. of the balance sheet value.         15.216.175           1.5. of the balance sheet value.         15.226.240.204           1.5. of the balance	1	Assets			
1.3       mostiment in Gett, Securities         1.4       Investment in Gett, Securities         1.5       in mostiment in Gett, Securities         1.6       in Getting         1.7       in Getting         1.8       in Getting         1.9       in Getting         1.1       in Getting	1.1	Property & Equipment	18,960,675	18,960,675	
14       Investment in Deck. Securities          15.6 of the balance short value in the case of feruse from 1 year.           1.156 of the balance short value in the case of feruse from 1 year.           1.156 of the balance short value in the case of feruse from 1 year.           1.156 of the balance short value in the case of feruse from 1 year.           1.156 of the balance short value in the case of feruse from 1.5 year.           1.156 of the balance short value in the case of feruse from 1.5 year.           1.156 of the balance short value in the case of feruse from 1.5 year.            1.156 of the balance short value in the case of foruse from them 1 year.            1.156 of the balance short value in the case of the camp of the securities fachare the short value in the case of the camp of the securities fachare the short value in the case of the camp of the securities fachare the short value in the case of the camp of the securities fachare the short value in the case of the camp of the securities fachare the short value in the case of the camp of the securities fachare the short value in the case of the securities fachare the short value in the securities the securities the securities	1.2	Intangible Assets	15,216,170	15,216,170	
Isseed than:         Isseed than:         Isseed than the same while in the case of tenue up to 1 year.           1. We the balance sheet value, in the case of tenue of mon 1-3 years.         Isseed the balance sheet value, in the case of tenue of mon than 3 years.           II. Use of the balance sheet value, in the case of tenue of mon than 3 years.         Isseed the balance sheet value, in the case of tenue of mon than 3 years.           II. Start the balance sheet value, in the case of tenue of mon than 3 years.         Isseed the balance sheet value, in the case of tenue tenue of mon than 3 years.           II. The tenue of the balance sheet value, in the case of tenue tenue of mone than 3 years.         Isseed the balance sheet value, in the case of tenue tenue of mone than 3 years.           II. The tenue of the balance sheet value, in the case of tenue tenue of mone than 3 years.         Isseed tenue of tenue tenue of mone than 3 years.           II. The tenue of the balance sheet value, in the case of tenue tenue of tenue					
E. St. of the balance sheet value, in the case of terms on 1-3 years.					
II. 225 of the balance short value. In the case of issues (mon 1-3 years.         III. 250 of the balance short value. In the case of issues (mon 2 issues).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value. In the case of issues (mon 3. years).         III. 250 of the balance short value is the case of issues (mon 3. years).         III. 250 of the balance short value is the case of issues (mon 3. years).         IIII. 250 of the balance short value is the case of issues (mon 3. years).         IIII. 250 of the balance short value is the case of issues (mon 3. years).         IIII. 250 of the balance short value is the case of issues (mon 3. years).         IIII. 250 of the balance short value is the case of issues (mon 3. years).         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
If unlisted that:       Image: Interview of the balance sheet value, in the case of terrue upto 1 year.         III 20% of the balance sheet value, in the case of terrue form 1-3 year.       Image: III of the balance sheet value, in the case of terrue form 1-3 year.         III 20% of the balance sheet value, in the case of terrue form 1-3 year.       Image: III of the balance sheet value.         III 20% of the balance sheet value.       Image: III of the balance sheet value.         III 20% of the balance sheet value.       Image: III of the balance sheet value.         III 20% of the balance sheet value.       Image: III of the balance sheet value.         III 20% of the balance sheet value.       Image: III of the balance sheet value.         III 20% of term of the balance sheet value.       Image: III of the balance sheet value.         III 20% of term value.       Image: III of the balance sheet value.         IIII 20% of term value.       Image: III of the balance sheet value.         IIII 20% of term value.       Image: III of the balance sheet value.         IIII 20% of term value.       Image: IIII value of the balance sheet value.         IIII 20% of term value.       Image: IIII value of the balance sheet value.         IIII 20% of the balance sheet value.       Image: IIII value.         IIII 20% of the balance sheet value.       IIIII value.         IIII 20% of the balance sheet value.       IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII					
1.12% of the balance shoet value in the case of terms option 1-3 years.					
II.15% of the balance sheet value, in the case of terms of more than 3 years.         Important In Equity Social Socia Social Social Social Social Social Social Social Socia					
15       Investment in Equity Securities					
If if Bidd 10% or Viki of each securities on the culor fuel ale as computed by the Securities Exchange for sequences wearther which here is higher.     If standstard, UKA of each securities includes and the includes of the theorem in the culor fuel ale as a subscription money as a subscription money and the securities includes and the includes of the theorem in the culor fuel ale as a subscription money and the securities includes and the includes of the theorem in the securities includes and the includes of the theorem in the securities includes and the includes of the theorem includes and the					
I is fullis which eve is higher.         I is fullished. 100% of cargo grants investment in IPOORT for Sale: Amount paid as subscription money against investment in the pool of the Sale is subscription money.         IIII is subscription money against investment in hey aget including shares of lated securities in that are in Block. Fusee or Pledge thats as on reporting date. (Luly 19, 2017)         IIIII is subscription investment in hey aget including shares of lated securities in that are in Block. Fusee or Pledge thats as on reporting date. (Luly 19, 2017)         IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII			22.040.204	22.040.204	
II. Subscription money against investment in IPOOFFT for Sale: Amount paid as subscription money		respective securities whichever is higher.	,, -	,, -	
peolded had stars have not been alleted or are not included in the investments of soculities booker.         Image: Control of the investment in any sets including shares of listed soculities which as a mean people of value of investment in those soculities which as investment in those soculities which as investment in those soculities which as investment in subscitules which as a mean society of the investment in those soculities which as investment in subscitules as provided in a chedule list of the sequence in the investment in subscitules as provided in a chedule list of the sequence in the investment in subscitules as provided in a chedule list of the sequence in the securities society is a provided in a chedule list of the sequence in the securities chedules as a constraint as provided in a chedule list of the sequence is higher.         Image: chedule list of the sequence is a constraint of the securities chedules and the securities is a constraint of the securities and the secont securities and the securities and the securities and					
In that are in Block, Freeze or Pledge status as on reporting date. (July 19: 2017) <sup></sup> Provided that 100% hatcus shall not be applied in case or threas securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Frianchorg requirements or pledged in securities short term frianching arangements his such cases. It has had cases the halcut as provided in schedule ill of the Regulations in respect of investment in securities shall be applicable (August 28, 2017)         Implicit and the Regulations in respect of investment in securities shall be applicable (August 28, 2017)         Implicit and the Regulations in respect of investment in securities shall be applicable (August 28, 2017)           10         Investment in securities ac computed by the Securities Exchange for respective securities which care is higher.         Implicit addition of the Regulation of		provided that shares have not been alloted or are not included in the investments of securities broker.			
Provided that 100% halcut shall not be applied in case of investment in those securities which are Pledged in favor of Bock Schanger (Classing House against Margin Financing requirements or pledged in favor of Bock Schanger (Classing House against Shall be applicable (August 25, 2017)           16         Investment in associated companies/undertaking         Investment in associated companies/undertaking           17         Investment in associated companies/undertaking         Investment in associated companies/undertaking         Investment in associated companies/undertaking           18         Undertake Schall         Schall         Schall         Schall         Schall           19         Investment in associated companies/undertaking         Investment in associated companies/undertaking         Investment in associated companies/undertaking         Investment in associated companies/undertaking           10         Investment in associated companies/undertaking         Interface         Interface           11         Charlen Companies/Undertake         Interface         Interface           12         Accurate and cleaning house         Interface         Interface           13         Undertake and intermediang agains borowed securities and other related parties         3.609.963         3.609.963           13         Deface and three and intermediang agains borowed securities and other related parties         Interface         Interface           14         A					
In favor of Banks against Shot trem financing arrangements. In sich cases, the halicut as provided in character of investment in securities shall be applicable (August 25, 2017)  1.6 Investment in associated companies/undertaking 1.7 Investment in associated companies/undertaking 1.8 Instrument in associated companies/undertaking 1.9 Margin deposits with each ange and clearing house or central depository 1.9 Margin deposits with exchange and clearing house or central depository 1.9 Margin deposits with exchange and clearing house or central depository 1.18 E40,680 1.10 Depositivith authorized intermediary against borrowed securities under SLB. 1.11 Other deposits and prepayments 1.12 Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities 4.559,840 0 4.55					
schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)					
1.6       Investment in associated companies/undertaking					
I.If listed 20% of VaR of each securities as computed by the Securities Exchange for respective securities          III Crinisted 100% of net value.          18       Stativoy or regulatory depositsbasic deposits with the exchanges, clearing house or central depository       5,159,753         18       Stativoy or regulatory depositsbasic deposits with the exchanges, clearing house or central depository       5,159,753         19       Margin deposits with exchange and clearing house.       118,640,690       0         110       Deposit with authorized intermediary against borowed securities under SLR.       3,609,963       3,609,963         110       Obtice deposits and prepayments       3,609,963       3,609,963       4,559,940         112       Detrobust with authorized of mark-up on amounts placed with financial institutions or debt securities       4,559,940       0       4,559,940         113       Divident Scelvables       0       0       4,559,940       0       4,559,940         114       Anounts technologiste under the REPO greement. (Socurities purchased under repolarangement shall be included in the investments)       0       0       60,468,224       0       60,468,224       0       60,468,224       0       10,710,110       10,710,110       10,710,110       11       11       10,710,110       11       11       10,710,110       11,759,					
whichever is higher.         Image: Construction of the Value.           1.8         Statutory or regulatory depositionatic deposits with the exchanges, clearing house or central depository or any other entity.         5,159,753         5,159,753           1.0         Margin deposits with exchange and clearing house.         118,640,090         0         118,640,690           1.10         Deposition with authorized intermediary against borowed securities under SLB.         3,609,963         3,609,963         3,609,963           1.11         Other deposits and prepayments         3,609,963         3,609,963         4,559,940         0         4,559,940           1.12         Accrued Interest, profit or mark-up on amounts placed with financial institutions or debt securities         4,559,940         0         4,559,940           1.13         Dividentis receivable against Repo financing.					
ii. If unisted. 100% of net value.					
or any other entity.       Image: Control of the entity of the enthe entit		ii. If unlisted, 100% of net value.			
1.0       Margin deposits with exchange and clearing house.       118,640,690       0       118,640,690         1.10       Deposit with authorized intermediary against borowed securities under SLB.       3.609,963       3.609,963         1.11       Other deposits and prepayments       3.609,963       3.609,963         1.12       Accoued interest, profit or mak-up on amounts placed with financial institutions or debt securities       4,559,940       0       4,559,940         1.12       Notice distributions or devised and prepayments       3.609,963       3.609,963       4,559,940         1.13       Dividends receivables       4,559,940       0       4,559,940       0       4,559,940         1.13       Dividends receivables       3.601,963       4,559,940       0       4,559,940       0       4,559,940         1.14       Amount seceivables       apainst hexp financing, Amount seceivables apainst brack the REPO agreement (Securities purchased under repo arrangement shall not be included in the investments).       0       60,468,224       0       60,468,224       0       60,468,224       0       0       60,468,224       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0       0			5,159,753	5,159,753	
110       Deposit with authorized intermediary against borowed securities under SLB.       3.609.963       3.609.963         111       Other deposits and prepayments       3.609.963       3.609.963         112       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities       4.559.940       0       4.559.940         113       Dividends receivables       0       4.559.940       0       4.559.940         113       Dividends receivables       0       0       4.559.940       0       4.559.940         113       Dividends receivables       0       0       4.559.940       0       4.559.940         113       Dividends receivables       0       0       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.224       0       60.468.24       0       60.468.24       0       60.468			118.640.690	0	118.640.690
1.12       Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities       4,559,940       0       4,559,940         113       Dividends receivable accrued on loans to directors, subsidiaries and other related parties            113       Dividends receivable against Repo financing, Amounts placed spurchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).             1.15       I. Shot Term Loan To Employees: Loans are Secured and Due for repayment within 12 months shall not be included in the investments).             60,468,224       0       60,468,224	1.10	Deposit with authorized intermediary against borrowed securities under SLB.	, ,		- / /
etc.(Nil)       Image of an analysis of the construction of the co					4 559 940
1.13       Dividends receivables.       Image: Construction of the constructi		etc.(Nil)	.,		.,
1.14       Amounts receivable against Repo financing.       Amount paid as purchase under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)       0         1.15       I. Short Ferr Loan To Employees: Loans are Secured and Due for repayment within 12 months PULS       60,468,224       0       60,468,224         1.16       I. Advance tax to the extent it is netted with provision of taxation.       10,710,110       10,710,110       10,710,110         1.16       Receivables of ther than tade receivables       10,710,110       10,710,110       10,710,110         1.16       Receivables from Claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       17,659,433       0       17,659,433         1.17       Receivables from Customers       10,710,410       10,716,519,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       10,710,510       10,710,510       10,710,510       10,710,510       10,710,510       10,710,510       10,710,510       10,710,510		100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
shall not be included in the investments.)       60,468,224       60,468,224         1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       60,468,224       0       60,468,224         11.15       Advance tax to the extent it is netted with provision of taxation.       10,710,110       10,710,110       10,710,110         11.16       Receivables from Clearing house or securities exchange(s)       10,710,110       10,710,110       10,710,110         1.16       Receivables from Clearing house or securities exchange(s)       0       0       0         1.17       Receivables from clearing house or securities in all markets including MtM gains.       17,659,433       0       17,659,433         1.17       Receivables from customers       1       1       10 case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut.       10,234       10,259,433       0       17,659,433       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0       17,659,433       0	1.14	Amounts receivable against Repo financing.			
1.15       i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months       60,468,224       0       60,468,224         PLUS       iii. Advance tax to the extent it is netted with provision of taxation.       10,710,110       10,710,110       10,710,110         1.16       Receivables other than trade receivables       10,710,110       10,710,110       10,710,110         1.16       Receivables from Clearing house or securities exchange(s)       0       0         1.17       Receivables from customers       0       0         1.17       Receivables from customers       11, 63 deposited as collateral by the finance (iii) market sincluding MtM gains.       17,659,433       0       17,659,433         1.17       Receivables from customers       0       0       0       0       0         1.18       Receivables are against trading of securities in all markets including MtM gains.       17,659,433       0       17,659,433       0       17,659,433         1.17       Receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAB based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral by the financee (iii)       iii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securitis borrowings under SLB, the amount paid to NC					
PUS       ii. Advance tax to the extent it is netted with provision of taxation.       iii.         iii. Receivables other than trade receivables       10,710,110       10,710,110         1.16       Receivables from Clearing house or securities exchange(s)       0         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       0       0         ii. Receivables from Customers       0       0       0         ii. Receivables from customers       0       0       0         i. noase receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut.       0       0       0         market value of any securities deposited as collateral after applying VAR based haircut.       0       0       0         iii. Nease receivables are against margin trading.5% of the net balance sheet value.       0       0       0         iii. In case receivables are against margin stature.       0       0       0       0       0         market value of net balance sheet value or value determined through adjustments.       0<	1.15		60.468.224	0	60.468.224
iii. Receivables other than trade receivables       10,710,110       10,710,110         1.16       Receivables from clearing house or securities exchange(s)       0         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       0       0         ii. Receivables on entitlements against trading of securities in all markets including MtM gains.       17,659,433       0       17,659,433         1.17       Receivables from customers       0       0       0       0         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut, ii. Lower of net balance sheet value or value determined through adjustments.       0       0         ii. In case receivables are against margin trading, 5% of the net balance sheet value.       0       0       0         iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, tii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0		PLUS	,,	-	,,
1.16       Receivables from clearing house or securities exchange(s)       0         i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.       0       0         ii. Receivables on entitlements against trading of securities in all markets including MtM gains.       17,659,433       0       17,659,433         1.17       Receivables from customers       0       0       0         ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       0       0         ii. In case receivables are against margin trading, 5% of the net balance sheet value or value determined through adjustments.       0       0       0         iii. Incase receivables are against securities borowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, its heat amount after deducting haricut       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448       0       3,954,448			10 710 110	10 710 110	
i. 100% value of claims other than those on account of entitlements against trading of securities in all       0       0         markets including MtM gains.       ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       17,659,433       0       17,659,433         1.17       Receivables from customers       0       0       0       0         i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut.       0       0       0         i. Incase receivables are against margin trading, 5% of the net balance sheet value.       0       0       0         ii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       0       3,954,448       0 <td></td> <td></td> <td>10,710,110</td> <td>10,710,110</td> <td></td>			10,710,110	10,710,110	
ii. Receivable on entitlements against trading of securities in all markets including MtM gains.       17,659,433       0       17,659,433         1.17       Receivables from customers            i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.           i. Lower of net balance sheet value or value determined through adjustments.            ii. Incase receivables are against margin frading, 5% of the net balance sheet value.            ii. Incase receivables are against curities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,            iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,954,448       0       3,954,448          v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       19,889,945       1,923,047,365       19,889,945         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts.       19,889,945		i. 100% value of claims other than those on account of entitlements against trading of securities in all	0	0	
1.17       Receivables from customers			17 659 433	0	17 659 433
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based haircut.       Image: Collateral age: Collateral a			11,000,400	0	17,000,400
market value of any securities deposited as collateral after applying VaR based haircut.       i. Lower of net balance sheet value or value determined through adjustments.         ii. Incase receivables are against margin trading, 5% of the net balance sheet value.       iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,954,448       0       3,954,448         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts.       19,889,945       1,923,047,365       19,889,945         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub- accounts after applying VAR based haircuts.       19,889,945       1,923,047,365       19,889,945         v. Income of act after applying VAR based haircuts.       vi. 100% haircut in the case of amount receivable form related parties.       0       3,954,448       0         1.18       Cash and Bank balances       0       140,579,119       0       140,579,119		i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
i. Lower of net balance sheet value or value determined through adjustments.       Image: Construct of the state of the s					
ii. Net amount after deducting haircut       iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut       iii. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,954,448       0       3,954,448         iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       19,889,945       1,923,047,365       19,889,945         1.18       Cash and Bank balances             i. Bank Balance-proprietory accounts       140,579,119       0       140,579,119					
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,954,448       0         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral applying VAR based haircuts.       19,889,945       1,923,047,365       19,889,945         1.18       Cash and Bank balances       Cash and Bank balances       140,579,119       0       140,579,119					
collateral upon entering into contract,       iii. Net amount after deducting haricut         iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,954,448         iv. Balance sheet value       3,954,448         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       19,889,945         value of securities purchased for customers and held in sub-accounts after applying VAR based       1,923,047,365         haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       1,00% haircut in the case of amount receivable form related parties.         1.18       Cash and Bank balances       140,579,119       0					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.       3,954,448       0       3,954,448         iv. Balance sheet value       v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       19,889,945       1,923,047,365       19,889,945         value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.       100% haircut in the case of amount receivable form related parties.       100%         1.18       Cash and Bank balances       140,579,119       0       140,579,119		collateral upon entering into contract,			
iv. Balance sheet value       iv. Balance sheet value       iv. Balance sheet value         v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market       19,889,945       1,923,047,365       19,889,945         value of securities purchased for customers and held in sub-accounts after applying VAR based       19,889,945       1,923,047,365       19,889,945         value of securities purchased for customers and held in sub-accounts after applying VAR based       10,000       19,889,945       19,889,945         value of securities held as collateral of the respective customer and (iii) the market value of       10,000       10,000       10,000         vi. 100% haircut in the case of amount receivable form related parties.       0       0       140,579,119       0         1.18       Cash and Bank balances       140,579,119       0       140,579,119       0       140,579,119			3 954 448	0	3 954 448
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.         vi. 100% haircut in the case of amount receivable form related parties.         1.18         Cash and Bank balances         i. Bank Balance-proprietory accounts         140,579,119       0		iv. Balance sheet value		-	
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.       Image: Collateral after applying VaR based haircuts.         vi. 100% haircut in the case of amount receivable form related parties.       Image: Collateral after applying VaR based haircuts.         1.18       Cash and Bank balances       Image: Collateral after applying VaR based haircuts.         i. Bank Balance-proprietory accounts       140,579,119       0			19,889,945	1,923,047,365	19,889,945
securities held as collateral after applying VaR based haircuts.					
1.18         Cash and Bank balances         140,579,119         0         140,579,119           i. Bank Balance-proprietory accounts         140,579,119         0         140,579,119		securities held as collateral after applying VaR based haircuts.			
i. Bank Balance-proprietory accounts 140,579,119 0 140,579,119					
			140,579,119	0	140,579,119

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-JAN-22** 

of M/s. IGI Finex Securities Limited

Submission Date 15-FEB-2022 15:49:47

	Submission Date 13-1 LD-2022 13.47.47		Page	2 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
5.NO.		Pak Rupee	Adjustments	Value
		07.400		07.400
1.19	iii. Cash in hand Total Assets	87,126 913,083,824	0 1,998,744,240	87,126 837,386,949
2	Liabilities	310,000,024	1,330,144,240	007,000,040
2.1	Trade Payables			
2.1	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products			
	iii. Payable to customers	543,607,173	0	543,607,173
2.2	Current Liabilities			
	i. Statutory and regulatory dues ii. Accruals and other payables	109,229,618	0	109,229,618
	iii. Short-term borrowings	105,225,010	0	103,223,010
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities vi. Deferred Liabilities			
	vii. Provision for bad debts			
	viii. Provision for taxation			
	ix. Other liabilities as per accounting principles and included in the financial statements			
2.3	Non-Current Liabilities			
	i. Long-Term financing	4 000 400	4 000 400	
	a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease	1,803,466	1,803,466	
	b. Other long-term financing			
	ii. Staff retirement benefits	19,534,312	0	19,534,312
	iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital			
	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital.			
	iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified:			
	a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of Ioan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	T-1-11/-1.001	674,174,569	1.803.466	672 271 102
2.5	Total Liabilites	074,174,509	1,003,400	672,371,103
3.1	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the			
	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting			
3.4	(b) in any other case : 12.5% of the net underwriting commitments Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total			
3.6	assets denominated in foreign cuurency less total liabilities denominated in foreign currency Amount Payable under REPO			
3.7				
·	·		1	

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-JAN-22** 

of M/s. IGI Finex Securities Limited

Submission Date 15-FEB-2022 15:49:47

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	238,909,255	1,996,940,774	165,015,846