IGI FINEX SECURITIES LIMITED

Monthly statements of liquid capital with the Commission and the securities exchange Computation of Liquid Capital AS AT AUGUST 31 2023

S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
1.1	Property & Equipment	54,441,741	100.00%	-
1.2	Intangible Assets	14,283,190	100.00%	-
1.3	Investment in Govt. Securities Investment in Debt. Securities	-	-	-
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.	-	5.00%	-
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.	-	7.50%	-
1.4	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years. If unlisted than:	-	10.00%	-
	i. 10% of the balance sheet value in the case of tenure upto 1 year.	-	10.00%	-
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.	-	12.50%	-
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years. Investment in Equity Securities	-	15.00%	-
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities			
1.5	whichever is higher.	-	100.00%	-
	ii. If unlisted, 100% of carrying value.		100.00%	-
	iii. Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have		0.00%	-
	not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze			
	or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock	12,358,768	100.00%	-
	Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing			
	arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries	-	100.00%	-
	Investment in associated companies/undertaking			
1.7	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.	- 1	-	-
	ii. If unlisted, 100% of net value.	-	100.00%	-
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	25,794,036	100.00%	1,053,212
1.9	Margin deposits with exchange and clearing house.	54,937,490	-	54,937,490
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments	- 5,732,488	- 100.00%	
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)	88,954,028	-	88,954,028
1.12	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties	-	100.00%	-
1.13	Dividends receivables.	-	-	-
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)	-	-	-
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS	40,653,573	_	40,653,573
1.15	ii. Advance tax to the extent it is netted with provision of taxation.			
	ii. Receivables other than trade receivables 53,903,531 Receivables from clearing house or securities exchange(s)	13,249,958	100.00%	-
1.16	100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM			
	gains.	-	-	-
	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after			
	applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as	-	-	-
	collateral after applying VaR based haircut. <i>i. Lower of net balance sheet value or value determined through adjustments.</i>			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.		F 00%	
	ii. Net amount after deducting haircut	-	5.00%	-
1.17	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into			
1.17	contract, iii. Net amount after deducting haricut	-	-	-
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	14 633 606	-	14 622 606
	iv. Balance sheet value	14,622,696	-	14,622,696
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities			
	purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.	13,621,432	684,464,187	13,621,432
	v. Lower of net balance sheet value or value determined through adjustments			
	vi. 100% haircut in the case of amount receivable form related parties.	165,564	100.00%	-
	Cash and Bank balances	170 000 050		170 000 000
1.18	Bank Balance-proprietory accounts Bank balance-customer accounts	176,982,650 3,962,684,501		176,982,650 3,962,684,501
	iii. Cash in hand	105,877	-	105,877
1.19	Total Assets	4,478,587,992		4,353,615,459
2. Liabili	ties Trade Payables			
2.1	i. Payable to exchanges and clearing house	5,105,008	-	5,105,008
2.1	ii. Payable against leveraged market products	-	-	-
	iii. Payable to customers	3,900,831,297	-	3,900,831,297
	Current Liabilities i. Statutory and regulatory dues		-	
	i. Accruals and other payables	- 154,177,741	-	- 154,177,741
2.2	iii. Short-term borrowings	-	-	
	iv. Current portion of subordinated loans	-	-	-
	v. Current portion of long term liabilities	1,939,609	-	1,939,609
	vi. Peferred Liabilities vii. Provision for bad debts	-	-	-
	vii. Provision for bad debts			-
	ix. Other liabilities as per accounting principles and included in the financial statements	-	-	-
	Non-Current Liabilities I. Long-Term financing		-	-

Monthly statements of liquid capital with the Commission and the securities exchange Computation of Liquid Capital AS AT AUGUST 31 2023

1. Dig. Term finance diseased from financial institution. Long term parties of financing obtained from a financial institution 7.805.12 100.300 2.3 1.0me from financing term financing. 0 0 1.4 0.0me (and term financing). 0 0 2.3 agents there if: 0 0 0 1.4 0.0me (and term financing). 0 0 0 2.3 agents there if: 0 0 0 0 0 1.5 0.0me (and term financing). 0 0 0 0 0 2.4 0.0me (and term financing). 0	S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
E. Ditter independent benefits			i		-
I. Soft relevants 3.000.00 Image: Soft association of the compared securities basiser: 100% harver, may be allowed in respect of sharever, may be approach have been obtained. Image: Soft association of the compared in the subsection of the compared in the horsase in a pair or the horsase of a pair of the horsase in a pair or the horsase of a pair of the horsase of the pair of the horsase of a pair of the horsase of a pair of the horsase of the pair of the horses of the pair of the horses of the pair of the horses of the pair of the horse of thorseo pair of the ho			-		-
2.3 Splant shares if. a. The existing subhites the capibal allows the proposed enhanced hare capibal is a fixed of Bioexteans of the samplemy has approved the increase in capibal is a fixed of Bioexteans of the samplemy has approved the increase in capibal is a fixed of Bioextean of the increase in capibal is a fixed of Bioextean of the increase in capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of capibal is a fixed of Bioextean of the increase of			3,000,000	-	3,000,000
a. The construmentation submitted balance applied allows the proposed enhanced balance capital b. Bood of Decistory of the company is approved have been obtained b. Bood of Decistory of the company is approved have been obtained b. Bood of Decistory of the company is approved have been obtained b. Bood of Decistory of the company is approved have been obtained b. Bood of Decistory of the company is approved have been obtained b. Bood Decistory of the company is approved have been obtained b. Bood Decistory of Decistory is approved have been obtained b. Bood Decistory of Decistory is approved have been obtained b. Decistory of Decistory is approved have been obtained b. Decistory of Decistory is approved have been obtained b		iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance			
b. Road of Directors of the company has approved the increase in capital	2.3				
c. Relevant Regulatory paper vale have been obtained					
a A. Three is no unreasonable delay in size of plares against above can gain guidatory requirements relating to the increase in paid up gained as a function is satisfied that such above can gained in the increase of capital. Image: Comparison of the increase of capital. iv: Other Balom interfs is an increase of capital. Image: Comparison of the increase of capital. Image: Comparison of the increase of capital. iv: Other Balom interfs is an increase of capital. Image: Comparison of the increase of capital. Image: Comparison of the increase of capital. iv: Other Balom interfs is an increase of capital. Image: Comparison of the increase of capital. Image: Comparison of the increase of capital. iv: Comparison of the increase of capital capital capital capital statements. Image: Comparison of the increase of capital. Image: Comparison of the increase of capital. iv: Comparison of the increase of capital. Image: Comparison of the increase of capital. Image: Comparison of the increase of capital. Image: Comparison of the increase of capital. iv: Comparison of the increase of capital. Image: Comparison o			-	-	
a du quapital have been completed.					
e. Audion's startified that such advance is against the increase of capatal. Image: Control Startified Test Startified					
Isource Land Image: Standard Lands Imad					
In Difference In Difference In Difference			-	-	
In Excited in Ig-order start. 100% harder will be allowed gainst subordinated Laws which full life conditions specified by SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, following conditions are specified: Image: SciP. In this regard, S			-	-	-
as ECP. In this regird, following conditions are specified: as Construction in Section of Section Sectin Section Section Sectin Sectin Section Section Section Sectin Se					
2.4 i. Loan agreement must be executed on samp paper and must clearly reflect the amount to be repaid after 12 months of reporting period i. Conservation of the sample service in the liquid Capital and revised liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital and revised liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital and revised liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital and revised liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital and revised liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital statement must be examined to an adjustment shall be made to the liquid Capital statement must be examined to adjust the adjustment adjustment shall be made to the liquid Capital statement must be examined to adjust the adjustment adjust the adjustment adjust the adjustment adjusthe adjustment adjusthe adjustment adjustment a					
approximation approximation<	24				
b. No baricet will be allowed against short term portion which is repayable within next 22 months. b. No baricet will be allowed against short term portion which is repayable within next 22 months. c. c. <td></td> <td></td> <td>-</td> <td>-</td> <td>-</td>			-	-	-
be submitted to exchange. 4.072,858,784 4.0 37. Rooking Utabilities Relating to: 4.072,858,784 4.0 37. Rooking Utabilities Relating to: 4.072,858,784 4.0 31. The amount calculated client-to: client basis by which any amount receivable from any of the financies: 5.0 5.0 32. If Unit and the addition of t					
2.5 Total Liabilities 4,072,858,784 4,00 2.6 Total Liabilities Relating to: Concentration in Margin Financing 3.1 The amount claulistic difference of Linet Data if nancese. Concentration in success the linet Data if nancese. 2.7 Total Liabilities of the torower with NCCPL Imaging the Dorower with NCCPL Imaging the Dorower with NCCPL (ii) Cash margine paid and Imaging the Dorower with NCCPL Imaging the Dorower with NCCPL (ii) Cash margine paid and Imaging the Dorower with NCCPL Imaging the Dorower with NCCPL (ii) Cash margine paid and Imaging the Dorower with NCCPL Imaging the Dorower with NCCPL (ii) Cash margine paid and Imaging the Dorower with NCCPL Imaging the Dorower with NCCPL (ii) Cash margine paid and Imaging the Dorower with NCCPL Imaging the Dorower with NCCPL (ii) the Value by which the underwriting commitments and Imaging the Dorower with the aggregate of: Imaging the Dorower with the aggregate of: 3.3 (i) the Stop of Harican turbifield by the underwriting commitments and Imaging the Dorower with the total assets of the subdially (excluding any amount due from the subscription price; the Aggregate of: Imaging the Dorower with Position in Foreign currency passition and Doreign currency mass the difference of total asset denominated in Foreign currency passitie difference of total assets denominated in Foreign currency Net po		c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must			
3. Reaking tablities Relating to :					
Concentration in Margin Financing 11 The amount claulated clinet collent basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. Concentration in securities Inding and borrowing The amount by which the aggregate of. (1) (Cach margines paid and (10) Cach margines paid and (10) Cach margines paid and (10) The market value of securities is less than or equal to the subscription price; the aggregate of right issue where the market price of the securities. If the arket value of securities is less than or equal to the subscription price; the aggregate of rights issue where the market price of the securities. In the case of right issue where the market price of securities is greater than the subscription price; the aggregate of rights issue where the market price of securities is greater than the subscription price; the aggregate of rights issue where the market price of securities is greater than the subscription price; Si of the laticular difference of the securities. In the case of rights issue where the market price of securities is greater than the subscription price; Si of the returnet/mig commitments 10 the agregate of the subscription price; Si of the returnet/mig commitments 10 the advect right issue where the market price of securities is greater than the subscription price; Si of the subscription price; The advect right and the subscription price; The advect right advect right advect right advect right advect right advect righ			4,072,858,784		4,065,053,655
3.1 The amount calculated client to: client basis by which any amount receivable from and nanoses. Concentration in securities identify and borrowing The amount deposited by the borrower with MCCPL. (i) Cash margins paid and (ii) The market value of securities jeteged as margins exceed the 110% of the market value of shares borrowed (ii) The market value of securities jeteged as margins exceed the 110% of the market value of shares borrowed (iii) The market value of securities jeteged as margins exceed the 110% of the market value of shares borrowed (iii) The market value of securities jeteged as margins exceed the 110% of the market value of shares borrowed (iii) The solve yation the deposition of the securities is greater than the subscription price; the aggregate 0f. (i) the solve yation the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the nuderwriting commitments and subscription price, 5% of the Haircut multiplied by the total assets of the subsidiary (excluding any amount due from the subsidiary) esceed the total labilities of the subsidiary to the total assets of the subsidiary (excluding any amount due from the subsidiary) esceed the total foreign currency. Net position in foreign currency. Net position i	3. Rank				
aggregate of amounts receivable from total finances: Image: aggregate of amounts receivable from total finances: Concentration is securities ending and borrowing The amount by which the aggregate of: Image: aggregate of amounts provide by the borrower with MCCPL III of amount by which the aggregate of: Image: aggregate of amounts aggregate of amounts and the addition of a securities is less than or equal to the subscription price; Image: aggregate of amounts and the addition of a securities is less than or equal to the subscription price; III the case of right issues: If the market value of securities is greater than the subscription price; Image: aggregate of amounts by which the underwriting commitments and the market price of the securities. III the case of right issues where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the ent underwriting commitments Image: aggregate of addition of a securities is greater than the subscription price; 5% of the Haircut multiplied by the ent underwriting commitments Image: aggregate of addition of a securities is greater than the subscription price; 5% of the Haircut multiplied by the ent underwriting commitments Image: aggregate of addition of a securities is greater than the subscription price; 5% of the reservent addition of a securities is greater than the subscription price; 5% of the addition of a securities is greater than the subscription price; 5% of the securities addition of a securities is greater than the subscription price; 5% of the securities addition of a securities addition of addition of the securities addition of additis additis addition of addition of a securities addition of a secu	3 1				1
Concentration in securities lending and borrowing Concentration in securities lending and borrowing 12 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (iii) The case of right issues: if the market value of securities is less than or equal to the subscription price; (i) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments and (ii) the value by which the underwriting commitments (iii) the value by which the total sasets of the subsidiary face of the securities is greater than the subscription price; (b) In any other case; 12-5% of the net underwriting commitments (iii) the value by which the total sasets of the subsidiary exceed the total (b) In any other case; 12-5% of the net underwriting commitments (iii) the value of any securities is greater than the subscription price; (b) In any other case; 12-5% of the net underwriting commitments (iii) the value of any securities is develoadiary (excluding any amount due from the subsidiary) exceed the total 13-6 The amount by which the underwriting commitments (iii) the value of any securities is develoadiary (excluding any amount due from the subsidiary) exceed the total	5.1		-	-	-
The amount by which the aggregate of: 3.2 (i) Amount deposited by the borrower with NCCPL (ii) Cash margins goal and (iii) Cash margins goal and (iii) The case of securities pledged as margins exceed the 110% of the market value of shares borrowed Net underwriting Commitments (a) In the case of fight issues if the market value of securities is less than or equal to the subscription price; the aggregate of: (i) It be S0% of Narout multiplied by the underwriting commitments and (ii) the scale by which the underwriting commitments exceeds the market price of the securities. (ii) the scale by which the underwriting commitments (iii) the scale by the end underwriting commitments (iii) the scale of right issues where market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (iii) the scale of right issues where market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments (iii) the scale of right issues where the market value of underwriting commitments (iii) the scale of the scale of right issues where right explosition in foreign currency the scale of right explosition in foreign currency pations (iii) the scale of right explosition in foreign currency the scale of total assets denominated in foreign currency is call abilities denominated in foreign currency (iiii) the case of financet/purchaser the total amou					
ii) Cash margins paid and iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Note that case of right Issues: if the market value of securites is less than or equal to the subscription price; the aggregate of: iii) the Case of right Issues: if the market value of securites is less than or equal to the subscription price; the aggregate of: 3.3 (i) the SO% of Haircut multiplied by the underwriting commitments and iii) the case of right issues where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments iiii) 10 In any other case; 12.5% of the net underwriting commitments iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		The amount by which the aggregate of:			
Implementer value of securities piedged as margins exceed the 110% of the market value of shares borrowed Implementer value of securities is less than or equal to the subscription price; the aggregate of: Implementer value of securities is less than or equal to the subscription price; the aggregate of: Implementer value of which the underwriting commitments and Implementer value of right issues where the market price of securities is greater than the subscription price; % of the Haircut multiplied by the underwriting commitments succeds the market price of the securities. Implementer value of advective ad	3.2	(i) Amount deposited by the borrower with NCCPL			
Net underwriting Commitments Lib In the case of right issue: if the market value of securities is less than or equal to the subscription price; the aggregate of: 3.3 Lib In the case of right issues where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments and . (i) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities. . . (i) the case of right issues where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting (b) In any other case; 12.5% of the net underwriting commitments . . . Negative equity of subsidiary . <th< td=""><td></td><td>(li) Cash margins paid and</td><td>-</td><td>-</td><td></td></th<>		(li) Cash margins paid and	-	-	
Li) In the case of right issues :: if the market value of securities is less than or equal to the subscription price; http://www.commut.co					
the aggregate of: the description of blance by which the underwriting commitments and (i) the slow of blance by which the underwriting commitments exceeds the market price of the securities. the tesse of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting (b) In any other case: 12.5% of the net underwriting commitments (b) In any other case: 12.5% of the net underwriting commitments (c) Hergative equily of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total labilities of the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total labilities of the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total labilities of the subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total labilities of the subsidiary The amount by which the total assets of the acceed the acceed frame of the origin currency. Si of the net position in foreign currency means the difference of total assets denominated in foreign currency less total amount receivable under REPO Repo adjustment In the case of finance/splater the total amount receivable under Repo less the 110% of the market value of underlying securities and splate a collateral by the purchaser after applying haircut less the total amount received Jes adjustment In the case of finance/splater the total amount receivable under Repo less the total amount received Jes adjustment In the ca			1		1
3.3 (i) the 50% of faircut multiplied by the underwriting commitments and					
3-3 (ii) the value by which the underwriting commitments exceeds the market price of the securities. - - 10) the value by which the underwriting commitments exceeds the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments - - 10) the value by which the total assets of the net underwriting commitments - - - 11 Ibin any other case: 12.5% of the net underwriting commitments - - - 12 The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary - - - 3.4 The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary - - - 3.5 5% of the net position in foreign currency position and foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency asset the stal amount received the market value of underlying securities after applying haircut less the total amount received the and exe of financier/purchaser the total amount receivable under REPO - - 3.7 In the case of financier/purchaser the total amount receivable under ster applying haircut less the total amount received lass colletral by the purchaser after applying haircut less the total amount received lasset of security and to the security asset		00 0			
In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut Image: Securities of the net underwriting ID in any other case; 12.5% of the net underwriting commitments - Negative equity of subsidiary - The arount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total - ID is any other case; 12.5% of the net underwriting commitments - ID is any other case; 12.5% of the net osition in foreign currency positions - ID is any other case; 12.5% of the net osition in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency. Net position foreign currency. - 3.6 Amount Payable under REPO - - Repo adjustment - - - Repo adjustment - - - In the case of financier/purchaser the total amount receivable under REPO - - 3.7 Sectifies and positions to underlying securities after applying haircut less the total amount received provietary positions. - - 3.8 Concentrated proprietary positions - - -	3.3		-	-	-
multiplied by the net underwriting - - - (b) In any other case : 12.5% of the net underwriting commitments - - - 3.4 The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary - - - 3.4 The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary - - - 3.5 5% of the net position in foreign currency. Met position in foreign currency means the difference of total assets denominated in foreign currency. Here position in foreign currency means the difference of total assets denominated in foreign currency. Here position in foreign currency the case of financier/purchaser the total amount receivable under Repo - - - 3.6 Amount Payable under REPO - <td></td> <td></td> <td></td> <td></td> <td></td>					
Negative equity of subsidiary 3.4 The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary. Image: Subsidiary (Subsidiary) 3.5 Foreign exchange agreements and foreign currency positions Image: Subsidiary (Subsidiary) 3.6 Amount Payable under REPO Image: Subsidiary (Subsidiary) 3.6 Amount Payable under REPO Image: Subsidiary (Subsidiary) 3.7 In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities after applying haircut less the total amount received pless value of any securities deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. 3.7 In the case of financee/seller the market value of underlying securities after applying haircut less any cash deposited by the purchaser. 3.8 If the market of a security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. 3.9 In case of outsomer positions, the total margin requirements in respect of open positions to security. 3.9 In case of outsomer positions, the total margin requirements in respect of open positions to the extent not already met 3.9 In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met 3.					
3.4 The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total Image: Content of the subsidiary (excluding any amount due from the subsidiary) exceed the total 3.5 Foreign exchange agreements and foreign currency positions Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency is stotal liabilities denominated in foreign currency Image: Content Payable under REPO 3.6 A mount Payable under REPO Image: Content Payable under REPO Image: Content Payable under REPO 3.7 Second financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities after applying haircut less any cash deposited by the purchaser. Image: Content Payable under REPO Image: Content Payable under REPO 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary positions, then of a security positions, the total margin requirements in respect of open postions less the amount of cash deposited by the purchaser after applying with securities exchange after applying Va haircuts Image: Content Payable under Payable un			-	-	-
Iabilities of the subsidiary Foreign exchange agreements and foreign currency positions 3.5 5% of the net position in foreign currency substant in the integer of total assets denominated in foreign currency less total liabilities denominated in foreign currency Image: Control of Contro			I	1	1
Foreign exchange agreements and foreign currency positions 3.5 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency. 3.6 Amount Payable under REPO 3.6 Amount Payable under REPO 8.7 Repo adjustment 1 In the case of finance/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. 1 In the case of finance/seller the market value of underlying securities after applying haircut less the total amount received less avalue of any securities adolateral by the purchaser after applying haircut less any cash deposited by the purchaser. 2 Concentrated proprietary positions 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security 3.9 by the customer positions, in futures and options 3.9 i. In case of customer positions, the total margin requirements in respect of open positions to the extent not already met 3.9 i. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met i. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - 3.9 i. In case of proprietary positions, the total margin requirements in respect of open p	3.4		-	-	-
3.5 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency					
foreign cuurency less total liabilities denominated in foreign currency - 3.6 Amount Payable under REPO - Repadjustment - - in the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. - - In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. - - In the case of financier/purchaser the total amount receivable under Repo less the total amount received jess value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. - - Concentrated proprietary positions - - - - 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security - - - 3.9 by the customer and the value of security exceeds 51% of the proprietary positions less the amount of cash deposited by the customer and the value of securities held as collateral/ pledged with securities exchange after applyiong VaR haircuts - - 3.9 by the customer and the value of scure positions, the total margin requirements in respect of open positions to the extent not already met - - 3.0 ti. In case of proprietary positions, the total margin re	3.5				
3.6 Amount Payable under REPO - Repo adjustment - - In the case of financier/purchaser the total amount receivable under Repoless the 110% of the market value of underlying securites. - 3.7 Securites. - - In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. - 2.8 Concentrated proprietary positions - 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security - 3.8 If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security - 3.9 by the customer positions, in futures and options - 3.9 i. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of ope			-	-	-
3.7 In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received jets value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. 3.8 Econcentrated proprietary positions 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security 3.8 If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security 3.9 Opening Positions in futures and options 3.9 i. In case of proprietary positions, the total margin requirements in respect of open positions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts i. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of customer positions, the total margin requirements in respect of ope	3.6		-	-	
3.7 securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. - 3.8 Concentrated proprietary positions - 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security - 3.8 If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security - 3.8 In case of customer positions, in futures and options - 3.9 i. In case of customer positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based ha		Repo adjustment			•
5.7 In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. - </td <td></td> <td></td> <td></td> <td></td> <td></td>					
Image: Instant State Image: Image	3.7				
purchaser. Concentrated proprietary positions 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security 3.8 If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security 3.9 Opening Positions in futures and options i. In case of customer positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - 5.00 Extense of customer positions, the total margin requirements in respect of open positions to the extent not already met - ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met - ii. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing - ii. Incase of customer positions, the cash deposited by the customer as collateral and the value of securities held as -			-	-	-
Concentrated proprietary positions 3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security Opening Positions in futures and options i. In case of customer positions, the total margin requirements in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met ji. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met ji. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met ji. In case of proprietary positions, the market value of short in ready market on behalf of customers after increasing ji. Incase of customer positions, the market value of short in ready market on behalf of customers after increasing ji. Incase of customer positions, the cash deposited by the customer as collateral and the value of securities held as					
3.8 If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security 3.9 Opening Positions in futures and options If the analysis of the value of such security exceeds 51% of the proprietary position, then 10% of the value of such security 3.9 I. In case of customer positions, the total margin requirements in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met Image: security securities is the total margin requirements in respect of open positions to the extent not already met 5.00 Entry the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as					
security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security Image: Comparison of the value of security exceeds 51% of the proprietary position, then 10% of the value of security 3.9 Opening Positions in futures and options Image: Comparison of the value of security exceeds 51% of the proprietary position, the total margin requirements in respect of open positions less the amount of cash deposited by the customer and the value of securities exchange after applyiong VaR haircuts ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met Image: Comparison of the value of securities exchange after applyiong VaR haircuts 3.10 Short sell positions Image: Comparison of the value of securities exchange after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as					
3.9 Dening Positions in futures and options i. In case of customer positions, the total margin requirements in respect of open postions less the amount of cash deposited by the customer and the value of securities held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met Short selll positions i. Incase of customer positions, the total margin requirements in respect of open positions to the extent not already met i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as	3.8		-	-	-
3.9 i. In case of customer positions, the total margin requirements in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as					
3.9 by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts Image: College of the colle					
Bit is a construction of the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as -	3.0		-	-	-
Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as	3.5	by the customer and the value of securites neid as conateral, predged with securities exchange after appryining var hancuts			
i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as		ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met	-	-	-
i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as		Short sell positions	1	1	I
3 10 the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as -					
			- 1	-	-
	3.10	collateral after applying VAR based Haircuts			
ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the					
amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			-	-	-
3.11 Total Ranking Liabilities - -	3.11	Total Ranking Liabilites	-	-	-